

# Equalization

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# Adaptive Filter Introduction

- Adaptive filters are used in:
  - Noise cancellation
  - Echo cancellation
  - Sinusoidal enhancement (or rejection)
  - Beamforming
  - Equalization
- Adaptive equalization for data communications proposed by R.W. Lucky at Bell Labs in 1965.
- LMS algorithm developed by Widrow and Hoff in 60s for neural network adaptation



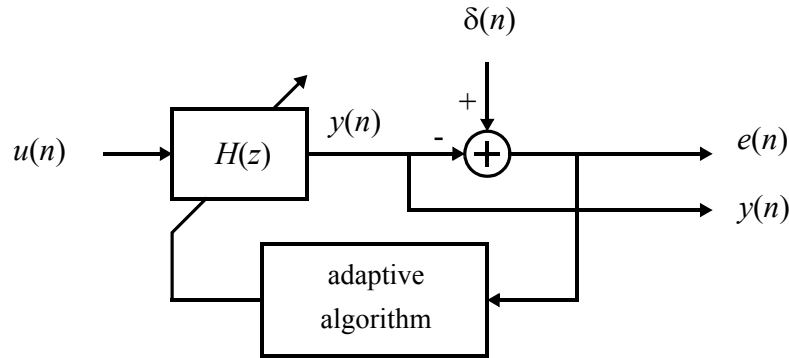
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## Adaptive Filter Introduction

- A typical adaptive system consists of the following two-input, two output system



- $u(n)$  and  $y(n)$  are the filter's input and output
- $\delta(n)$  and  $e(n)$  are the reference and error signals

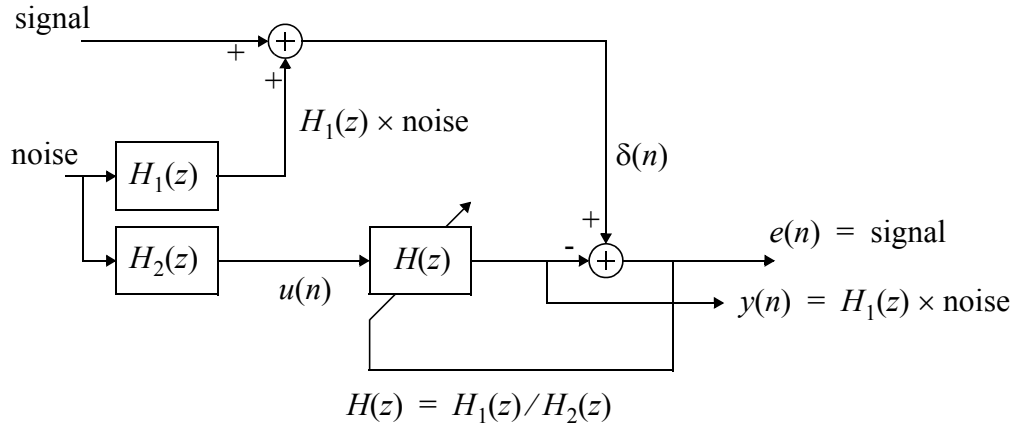


## Adaptive Filter Goal

- Find a set of filter coefficients to minimize the power of the error signal,  $e(n)$ .
- Normally assume the time-constant of the adaptive algorithm is ***much slower*** than those of the filter,  $H(z)$ .
- If it were instantaneous, it could always set  $y(n)$  equal to  $\delta(n)$  and the error would be zero (this is useless)
- Think of adaptive algorithm as an optimizer which finds the best set of ***fixed*** filter coefficients that minimizes the power of the error signal.



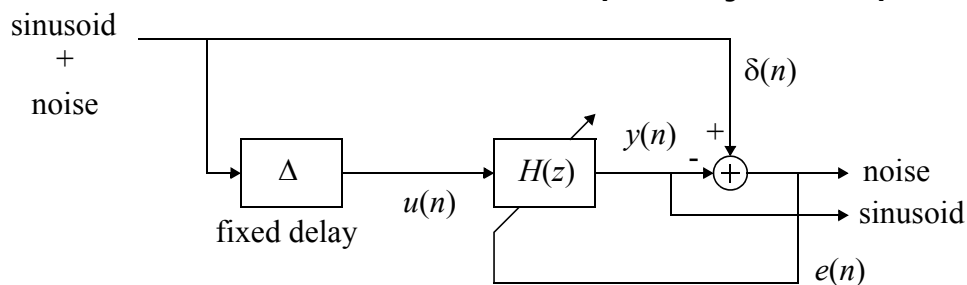
## Noise (and Echo) Cancellation



- Useful in cockpit noise cancelling, fetal heart monitoring, acoustic noise cancelling, echo cancelling, etc.



## Sinusoidal Enhancement (or Rejection)



- The sinusoid's frequency and amplitude are unknown.
- If  $H(z)$  is adjusted such that its phase plus the delay equals 360 degrees at the sinusoid's frequency, the sinusoid is cancelled while the noise is passed.
- The "noise" might be a broadband signal which should be recovered.



## Adaptation Algorithm

- Optimization might be performed by:
  - perturb some coefficient in  $H(z)$  and check whether the power of the error signal increased or decreased.
  - If it decreased, go on to the next coefficient.
  - If it increased, switch the sign of the coefficient change and go on to the next coefficient.
  - Repeat this procedure until the error signal is minimized.
- This approach is a steepest-descent algorithm but is slow and not very accurate.
- The LMS (Least-Mean-Square) algorithm is also a steepest-descent algorithm but is more accurate and simpler to realize



## Steepest-Descent Algorithm

- Minimize the power of the error signal,  $E[e^2(n)]$
- General steepest-descent for filter coefficient  $p_i(n)$ :

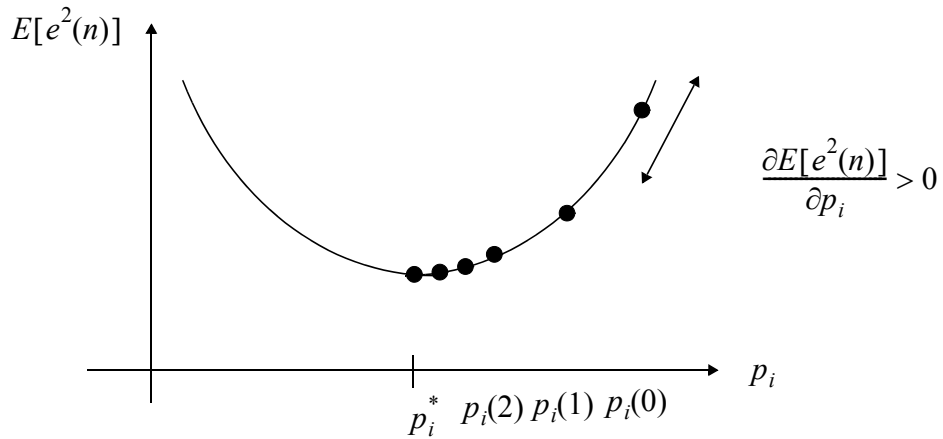
$$p_i(n+1) = p_i(n) - \mu \left( \frac{\partial E[e^2(n)]}{\partial p_i} \right)$$

- Here  $\mu > 0$  and controls the adaptation rate



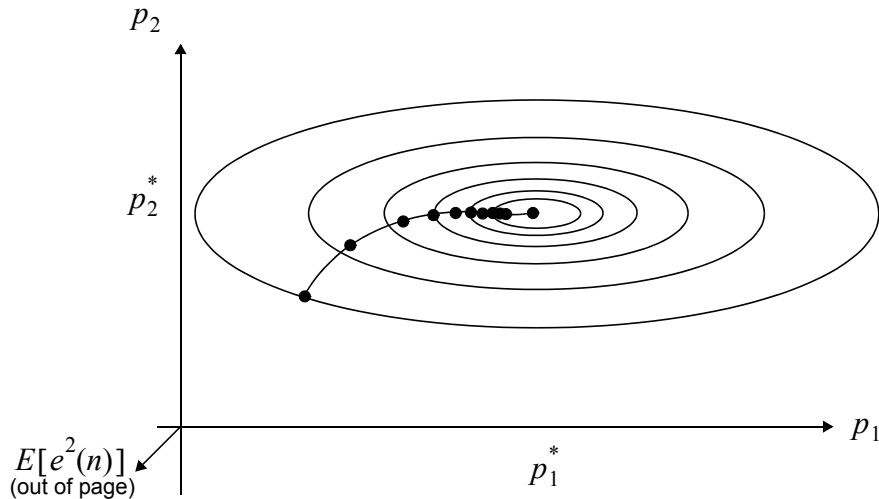
## Steepest Descent Algorithm

- In the one-dimensional case



## Steepest-Descent Algorithm

- In the two-dimensional case



- Steepest-descent path follows perpendicular to tangents of the contour lines.



## LMS Algorithm

- Replace expected error squared with instantaneous error squared. Let adaptation time smooth out result.

$$p_i(n+1) = p_i(n) - \mu \left( \frac{\partial e^2(n)}{\partial p_i} \right)$$

$$p_i(n+1) = p_i(n) - 2\mu e(n) \left( \frac{\partial e(n)}{\partial p_i} \right)$$

- and since  $e(n) = \delta(n) - y(n)$ , we have

$$p_i(n+1) = p_i(n) + 2\mu e(n) \phi_i(n) \quad \text{where } \phi_i = \partial y(n) / \partial p_i$$

- $e(n)$  and  $\phi_i(n)$  are uncorrelated after convergence.

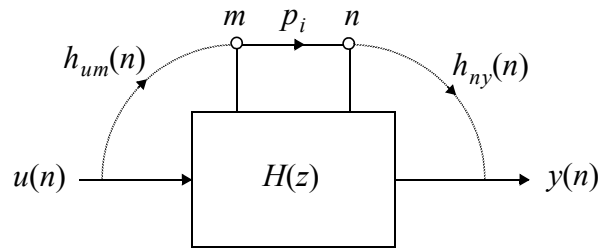


## Variants of the LMS Algorithm

- To reduce implementation complexity, variants are taking the sign of  $e(n)$  and/or  $\phi_i(n)$ .
- **LMS** —  $p_i(n+1) = p_i(n) + 2\mu e(n) \times \phi_i(n)$
- **Sign-data LMS** —  $p_i(n+1) = p_i(n) + 2\mu e(n) \times \text{sgn}(\phi_i(n))$
- **Sign-error LMS** —  $p_i(n+1) = p_i(n) + 2\mu \text{sgn}(e(n)) \times \phi_i(n)$
- **Sign-sign LMS** —  $p_i(n+1) = p_i(n) + 2\mu \text{sgn}(e(n)) \times \text{sgn}(\phi_i(n))$
- However, the sign-data and sign-sign algorithms have gradient misadjustment — **may not converge!**
- These LMS algorithms have different dc offset implications in analog realizations.



## Obtaining Gradient Signals

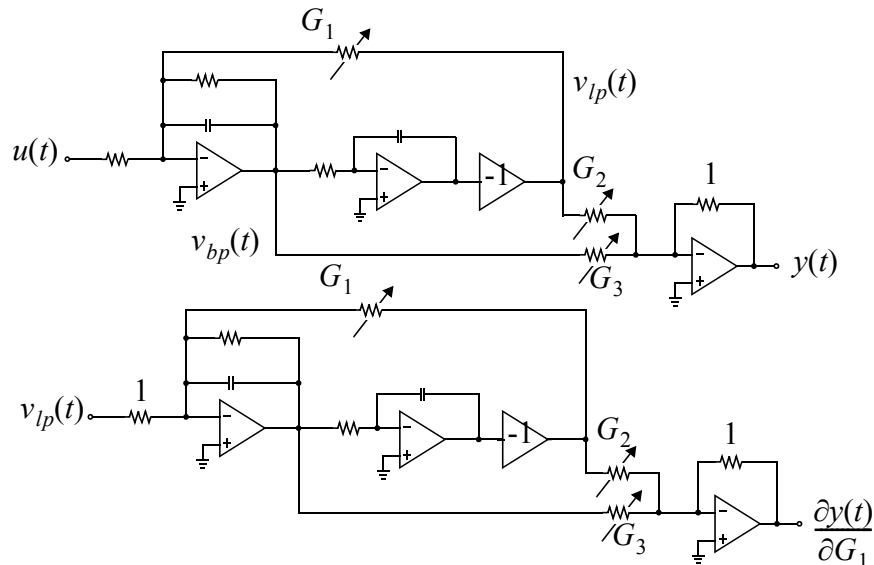


$$\phi_i(n) = \frac{\partial y(n)}{\partial p_i} = h_{ny}(n) \otimes h_{um}(n) \otimes u(n)$$

- $H(z)$  is a LTI system where the signal-flow-graph arm corresponding to coefficient  $p_i$  is shown explicitly.
- $h_{um}(n)$  is the impulse response of from  $u$  to  $m$
- The gradient signal with respect to element  $p_i$  is the convolution of  $u(n)$  with  $h_{um}(n)$  convolved with  $h_{ny}(n)$ .



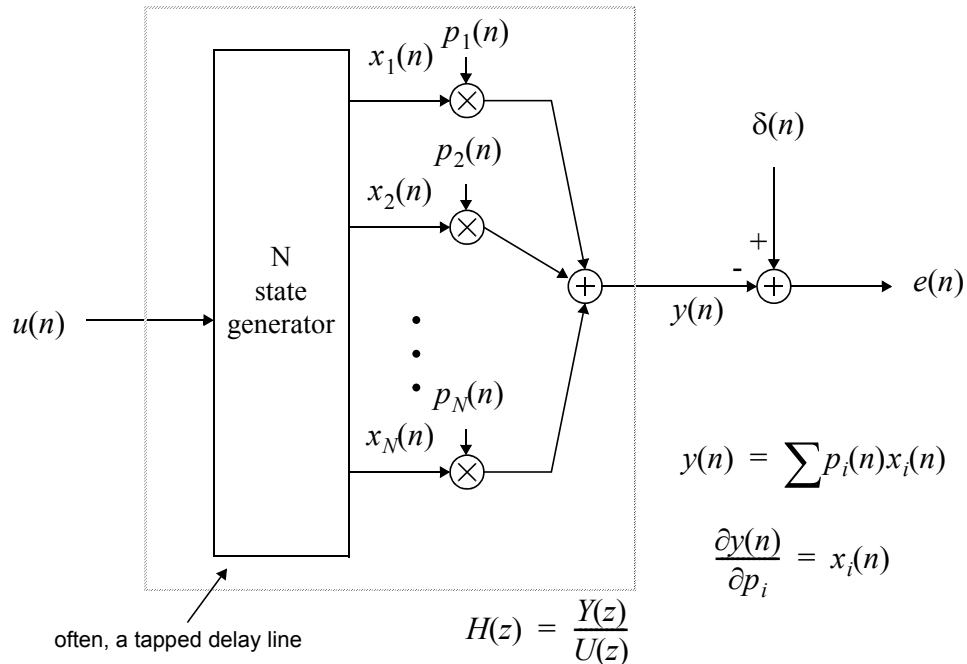
## Gradient Example



$$\frac{\partial y(t)}{\partial G_2} = -v_{lp}(t) \quad \frac{\partial y(t)}{\partial G_3} = -v_{bp}(t)$$



## Adaptive Linear Combiner



## Adaptive Linear Combiner

- The gradient signals are simply the state signals

$$p_i(n+1) = p_i(n) + 2\mu e(n)x_i(n) \quad (1)$$

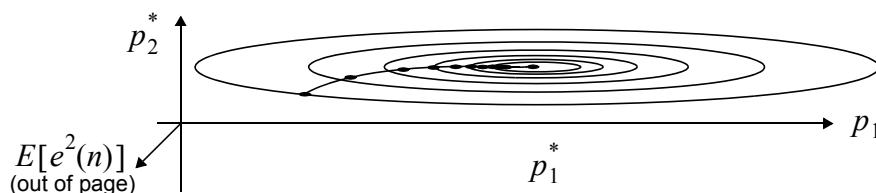
- Only the zeros of the filter are being adjusted.
- There is no need to check that for filter stability (though the adaptive algorithm could go unstable if  $\mu$  is too large).
- The **performance surface is guaranteed unimodal** (i.e. there is only one minimum so no need to worry about being stuck in a local minimum).
- The performance surface becomes ill-conditioned as the state-signals become correlated (or have large power variations).





## Performance Surface

- Correlation of two states is determined by multiplying the two signals together and averaging the output.
- Uncorrelated (and equal power) states result in a “hyper-paraboloid” performance surface — good adaptation rate.
- Highly-correlated states imply an ill-conditioned performance surface — more residual mean-square error and longer adaptation time.



## Adaptation Rate

- Quantify performance surface — state-correlation matrix

$$R \equiv \begin{bmatrix} E[x_1x_1] & E[x_1x_2] & E[x_1x_3] \\ E[x_2x_1] & E[x_2x_2] & E[x_2x_3] \\ E[x_3x_1] & E[x_3x_2] & E[x_3x_3] \end{bmatrix}$$

- Eigenvalues,  $\lambda_i$ , of  $R$  are all positive real — indicate curvature along the principle axes.
- For adaptation stability,  $0 < \mu < \frac{1}{\lambda_{\max}}$  but adaptation rate is determined by least steepest curvature,  $\lambda_{\min}$ .
- Eigenvalue spread indicates performance surface conditioning.



## Adaptation Rate

- Adaptation rate might be 100 to 1000 times slower than time-constants in programmable filter.
- Typically use same  $\mu$  for all coefficient parameters since orientation of performance surface not usually known.
- A large value of  $\mu$  results in a larger coefficient “bounce”.
- A small value of  $\mu$  results in slow adaptation
- Often “gear-shift”  $\mu$  — use a large value at start-up then switch to a smaller value during steady-state.
- Might need to detect if one should “gear-shift” again.



## Adaptive IIR Filtering

- The poles (and often the zeros) are adjusted — useful in applications with long impulse responses.
- Stability check needed for the adaptive filter itself to ensure the poles do not go outside the unit circle for too long a time (or perhaps at all).
- In general, a multi-modal performance surface occurs. Can get stuck in local minimum.
- However, if the order of the adaptive filter is greater than the order of the system being matched (and all poles and zeros are being adapted) — the performance surface is unimodal.
- To obtain the gradient signals for poles, extra filters are generally required.



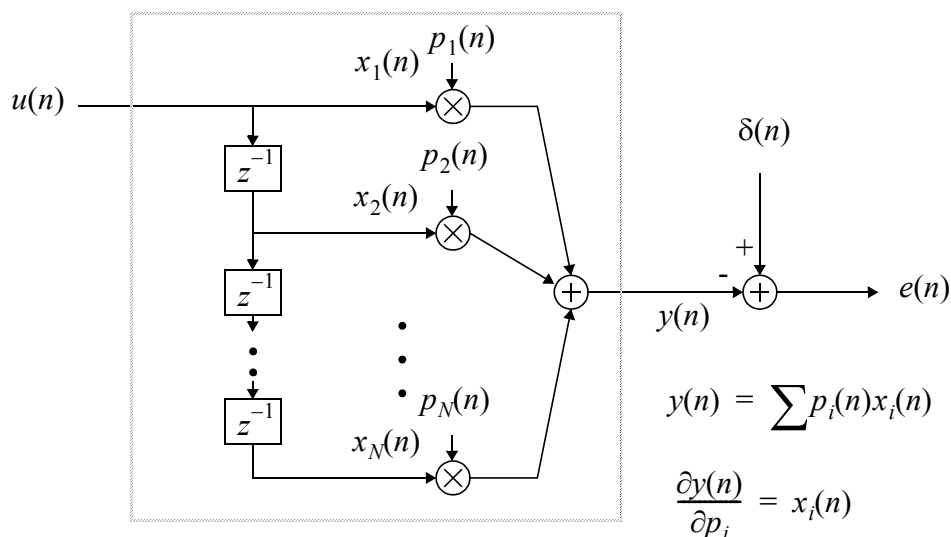
## Adaptive IIR Filtering

- Direct-form structure needs only one additional filter to obtain all the gradient signals.
- However, choice of structure for programmable filter is VERY important — sensitive structures tend to have ill-conditioned performance surfaces.
- Equation error structure has unimodal performance surface but has a bias.
- SHARF (simplified hyperstable adaptive recursive filter) — the error signal is filtered to guarantee adaptation — needs to meet a strictly-positive-real condition
- There are few commercial use of adaptive IIR filters



## Digital Adaptive Filters

- FIR tapped delay line is the most common



## FIR Adaptive Filters

- All poles at  $z = 0$  and zeros only adapted.
- Special case of an adaptive linear combiner
- Unimodal performance surface
- States are uncorrelated and equal power if input signal is white — hyper-paraboloid
- If not sure about correlation matrix, can guarantee adaptation stability by choosing

$$0 < \mu < \frac{1}{(\# \text{ of taps})(\text{input signal power})}$$

- Usually need an AGC so signal power is known.

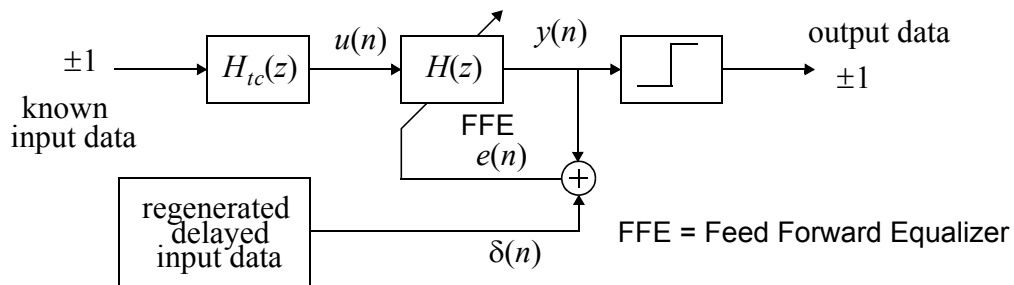


## FIR Adaptive Filter

- Coefficient word length typically  $2 + 0.5 \log_2(\# \text{ of taps})$  bits longer than “bit-equivalent” dynamic range
- Example: 6-bit input with 8-tap FIR might have 10-bit coefficient word lengths.
- Example: 12-bit input with 128-tap FIR might have 18-bit coefficient word lengths for 72 dB output SNR.
- Requires multiplies in filter and adaptation algorithm (unless an LMS variant used or slow adaptation rate) — twice the complexity of FIR fixed filter.



## Equalization — Training Sequence

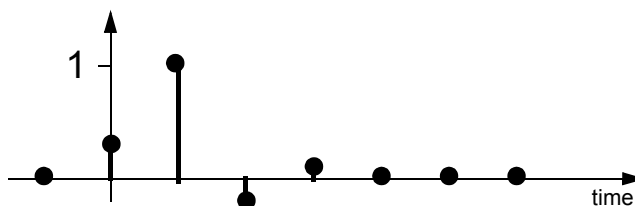


- The reference signal,  $\delta(n)$  is equal to a delayed version of the transmitted data
- The training pattern should be chosen so as to ease adaptation — pseudorandom is common.
- Above is a feedforward equalizer (FFE) since  $y(n)$  is not directly created using derived output data



## FFE Example

- Suppose channel,  $H_{ic}(z)$ , has impulse response 0.3, 1.0, -0.2, 0.1, 0.0, 0.0



- If FFE is a 3-tap FIR filter with

$$y(n) = p_1 u(n) + p_2 u(n-1) + p_3 u(n-2) \quad (2)$$

- Want to force  $y(1) = 0$ ,  $y(2) = 1$ ,  $y(3) = 0$
- Not possible to force all other  $y(n) = 0$



## FFE Example

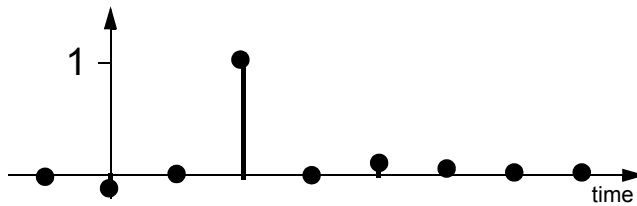
$$y(1) = 0 = 1.0p_1 + 0.3p_2 + 0.0p_3$$

$$y(2) = 1 = -0.2p_1 + 1.0p_2 + 0.3p_3$$

$$y(3) = 0 = 0.1p_1 + (-0.2)p_2 + 1.0p_3$$

(3)

- Solving results in  $p_1 = -0.266$ ,  $p_2 = 0.886$ ,  $p_3 = 0.204$
- Now the impulse response through both channel and equalizer is: 0.0, -0.08, 0.0, 1.0, 0.0, 0.05, 0.02, ...

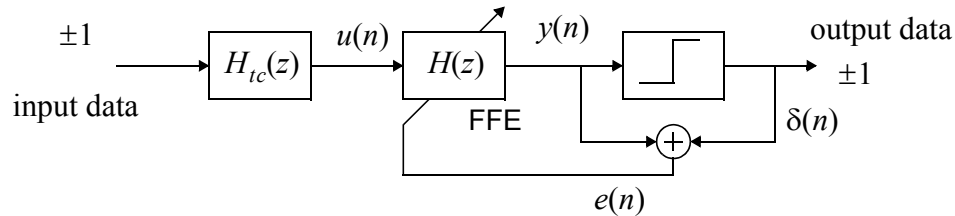


## FFE Example

- Although ISI reduced around peak, introduction of slight ISI at other points (better overall)
- Above is a “zero-forcing” equalizer — usually boosts noise too much
- An LMS adaptive equalizer minimizes the mean squared error signal (i.e. find low ISI and low noise)
- In other words, do not boost noise at expense of leaving some residual ISI



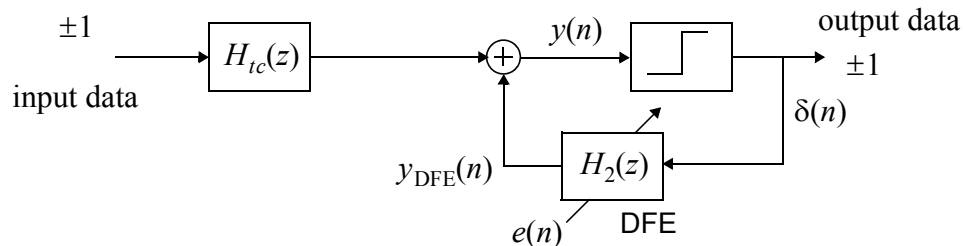
## Equalization — Decision-Directed



- After training, the channel might change during data transmission so adaptation should be continued.
- The reference signal is equal to the recovered output data.
- As much as 10% of decisions might be in error but correct adaptation will occur



## Equalization — Decision-Feedback

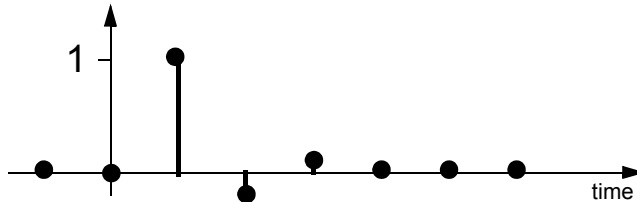


- **Decision-feedback equalizers** make use of  $\delta(n)$  in directly creating  $y(n)$ .
- They enhance noise less as the **derived** input data is used to cancel ISI
- The error signal can be obtained from either a training sequence or decision-directed.



## DFE Example

- Assume signals 0 and 1 (rather than -1 and +1) (makes examples easier to explain)
- Suppose channel,  $H_{ic}(z)$ , has impulse response 0.0, 1.0, -0.2, 0.1, 0.0, 0.0



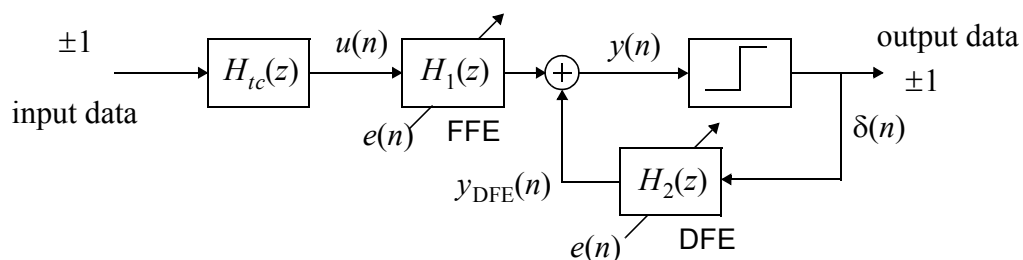
- If DFE is a 2-tap FIR filter with

$$y_{DFE}(n) = 0.2\delta(n-1) + (-0.1)\delta(n-2) \quad (4)$$

- Input to slicer is now 0.0, 1.0, 0.0, 0.0 0.0 0.0



## FFE and DFE Combined



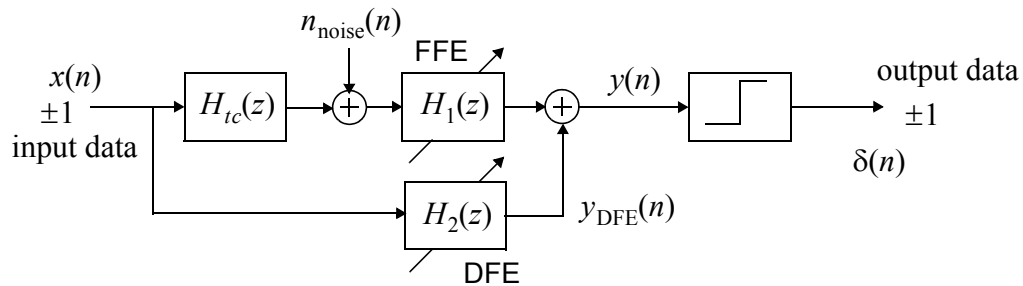
- Assuming correct operation, output data = input data
- $e(n)$  same for both FFE and DFE
- $e(n)$  can be either training or decision directed





## FFE and DFE Combined

**Model as:**



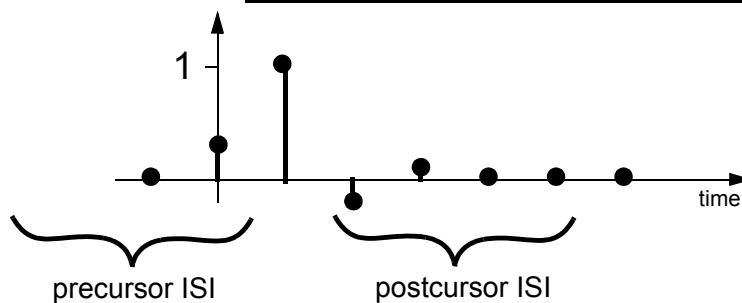
$$\frac{Y}{N} = H_1 \quad (5)$$

$$\frac{Y}{X} = H_{ic}H_1 + H_2 \quad (6)$$

- When  $H_{ic}$  small, make  $H_2 = 1$  (rather than  $H_1 \rightarrow \infty$ )



## DFE and FFE Combined



- FFE can deal with precursor ISI and postcursor ISI
- DFE can only deal with postcursor ISI
- However, FFE enhances noise while DFE does not

**When both adapt**

- FFE tries to add little boost by pushing precursor into postcursor ISI (allpass)



## Equalization — Decision-Feedback

- The multipliers in the decision feedback equalizer can be simple since received data is small number of levels (i.e. +1, 0, -1) — can use more taps if needed.
- An error in the decision will propagate in the ISI cancellation — error propagation
- More difficult if Viterbi detection used since output not known until about 16 sample periods later (need early estimates).
- Performance surface might be multi-modal with local minimum if changing DFE affects output data



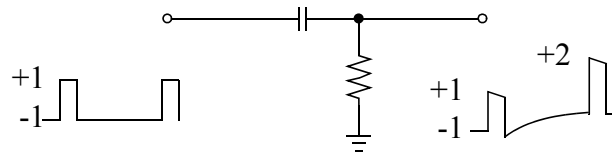
## Fractionally-Spaced FFE

- Feed forward filter is often a FFE sampled at 2 or 3 times symbol-rate — fractionally-spaced (i.e. sampled at  $T/2$  or at  $T/3$ )
- Advantages:
  - Allows the matched filter to be realized digitally and also adapt for channel variations (not possible in symbol-rate sampling)
  - Also allows for simpler timing recovery schemes (FFE can take care of phase recovery)
- Disadvantage
  - Costly to implement — full and higher speed multiplies, also higher speed A/D needed.



## dc Recovery (Baseline Wander)

- Wired channels often ac coupled
- Reduces dynamic range of front-end circuitry and also requires some correction if not accounted for in transmission line-code



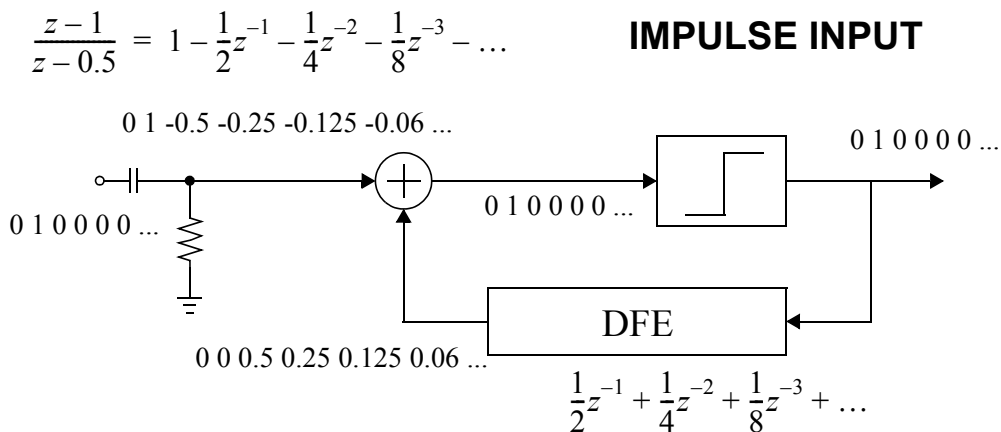
- Front end may have to be able to accomodate twice the input range!
- DFE can restore baseline wander - lower frequency pole implies longer DFE
- Can use line codes with no dc content



## Baseline Wander Correction #1

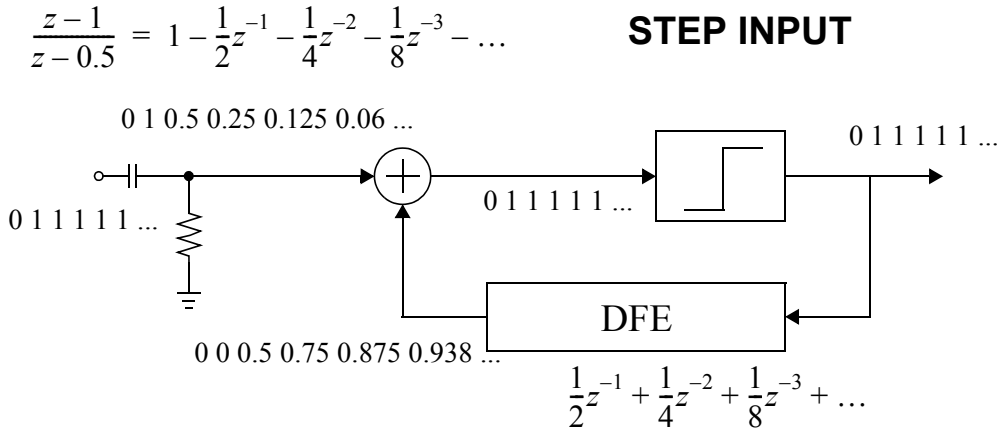
### DFE Based

- Treat baseline wander as postcursor interference
- May require a long DFE



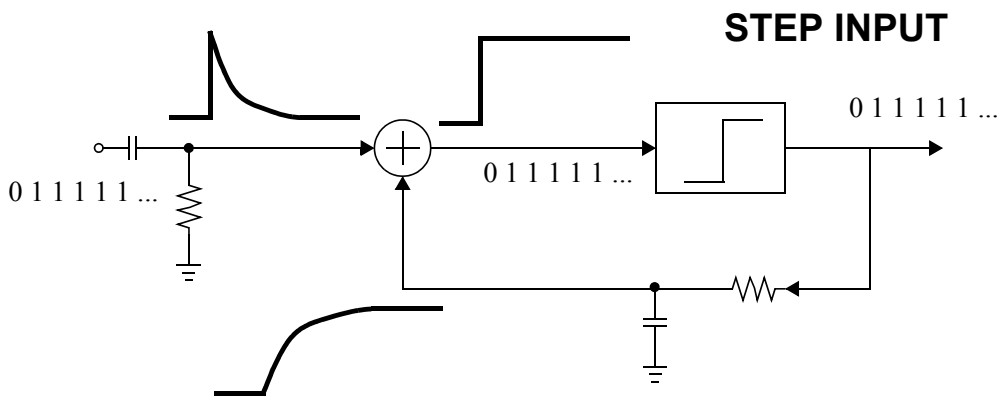
## Baseline Wander Correction #1

### DFE Based



## Baseline Wander Correction #2

### Analog dc restore

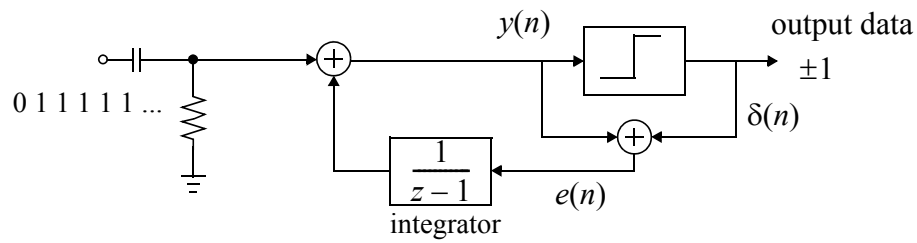


- Equivalent to an analog DFE
- Needs to match RC time constants



## Baseline Wander Correction #3

### Error Feedback



- Integrator time-constant should be faster than ac coupling time-constant
- Effectively forces error to zero with feedback
- May be difficult to stabilize if too much in loop (i.e. AGC, A/D, FFE, etc)



## Analog Equalization



## Analog Filters

### Switched-capacitor filters

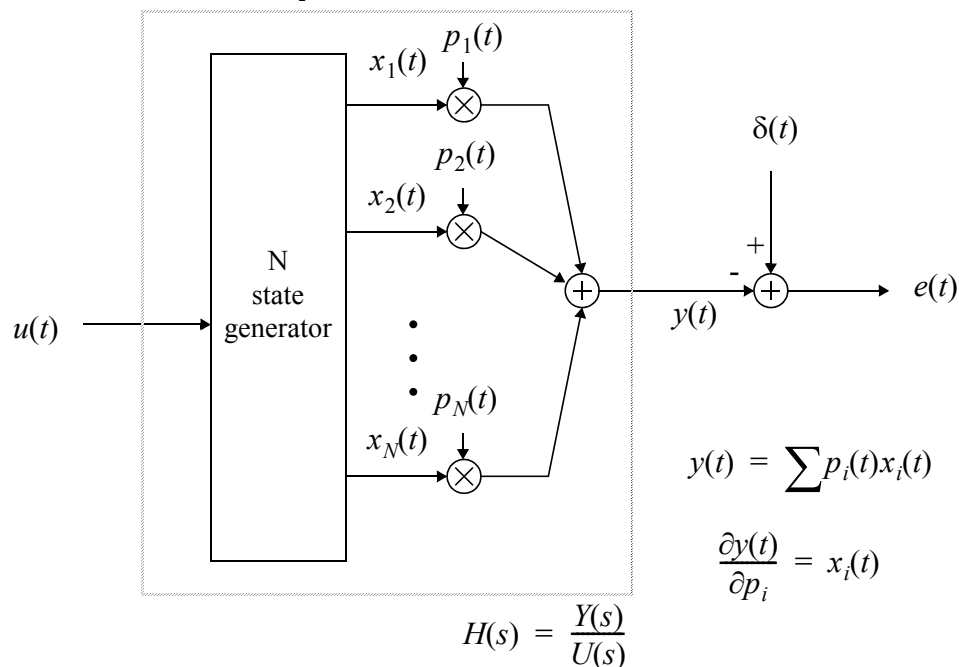
- + Accurate transfer-functions
- + High linearity, good noise performance
- Limited in speed
- Requires anti-aliasing filters

### Continuous-time filters

- Moderate transfer-function accuracy (requires tuning circuitry)
- Moderate linearity
- + High-speed
- + Good noise performance



## Adaptive Linear Combiner



## Adaptive Linear Combiner

- The gradient signals are simply the state signals
- If coeff are updated in discrete-time

$$p_i(n+1) = p_i(n) + 2\mu e(n)x_i(n) \quad (7)$$

- If coeff are updated in cont-time

$$p_i(t) = \int_0^{\infty} 2\mu e(t)x_i(t) dt \quad (8)$$

- Only the zeros of the filter are being adjusted.
- There is no need to check that for filter stability (though the adaptive algorithm could go unstable if  $\mu$  is too large).



## Adaptive Linear Combiner

- The ***performance surface is guaranteed unimodal*** (i.e. there is only one minimum so no need to worry about being stuck in a local minimum).
- The performance surface becomes ill-conditioned as the state-signals become correlated (or have large power variations).

### Analog Adaptive Linear Combiner

- Better to use input summing rather than output summing to maintain high speed operation
- Requires extra gradient filter to obtain gradients



## Analog Adaptive Filters

### Analog Equalization Advantages

- Can eliminate A/D converter
- Reduce A/D specs if partial equalization done first
- If continuous-time, no anti-aliasing filter needed
- Typically consumes less power and silicon for high-frequency low-resolution applications.

### Disadvantages

- Long design time (difficult to “shrink” to new process)
- More difficult testing
- DC offsets can result in large MSE (discussed later).



## Analog Adaptive Filter Structures

- Tapped delay lines are difficult to implement in analog.

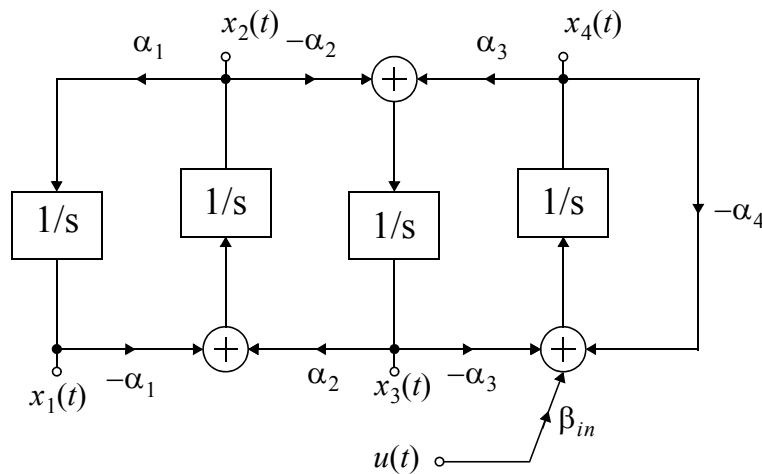
### To obtain uncorrelated states:

- Can use Laguerre structure — cascade of allpass first-order filters — poles all fixed at one location on real axis
- For arbitrary pole locations, can use orthonormal filter structure to obtain uncorrelated filter states [Johns, CAS, 1989].





## Orthonormal Ladder Structure



- For white noise input, all states are uncorrelated and have equal power.



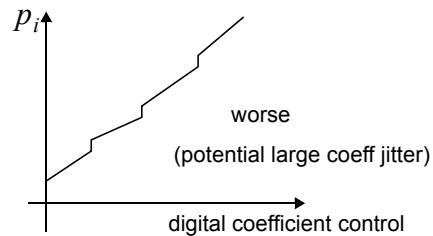
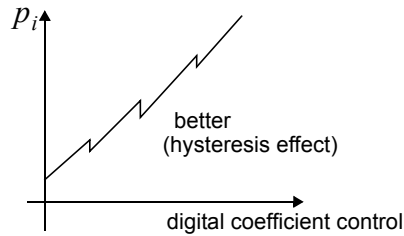
## Analog's Big Advantage

- In digital filters, programmable filter has about same complexity as a fixed filter (if not power of 2 coeff).
- In analog, arbitrary fixed coeff come for free (use element sizing) but programming adds complexity.
- In continuous-time filters, frequency adjustment is required to account for process variations — relatively simple to implement.
- ***If channel has only frequency variation — use arbitrary fixed coefficient analog filter and adjust a single control line for frequency adjustment.***
- Also possible with switched-C filter by adjusting clock frequency.



## Analog Adaptive Filters

- Usually digital control desired — can switch in caps and/or transconductance values
- Overlap of digital control is better than missed values

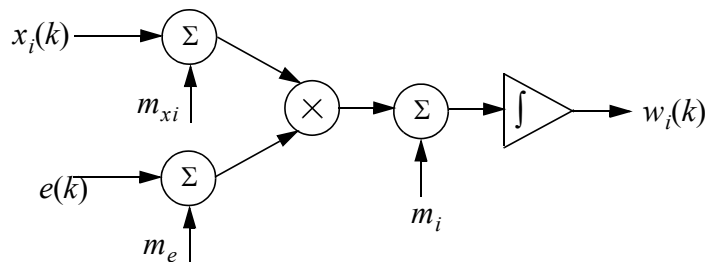


- In switched-C filters, some type of multiplying DAC needed.
- Best fully-programmable filter approach is not clear



## Analog Adaptive Filters — DC Offsets

- DC offsets result in partial correlation of data and error signals (opposite to opposite DC offset)

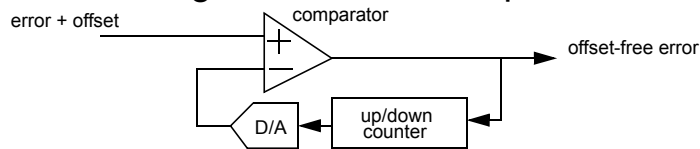


- At high-speeds, offsets might even be larger than signals (say, 100 mV signals and 200mV offsets)
- DC offset effects worse for ill-conditioned performance surfaces



## Analog Adaptive Filters — DC Offsets

- Sufficient to zero offsets in either error or state-signals (easier with error since only one error signal)
- For integrator offset, need a high-gain on error signal
- Use **median-offset cancellation** — slice error signal and set the median of output to zero
- In most signals, its mean equals its median

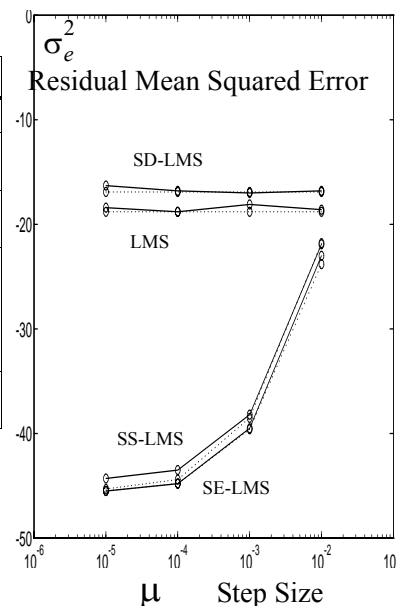


- Experimentally verified (low-frequency) analog adaptive with DC offsets more than twice the size of the signal.



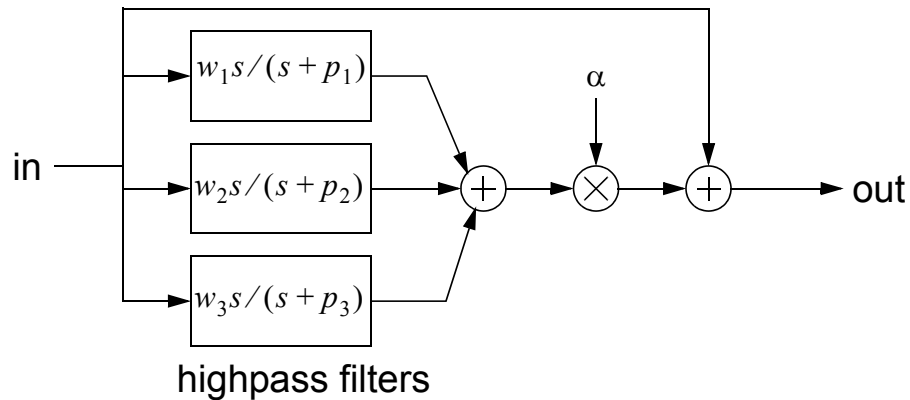
## DC Offset Effects for LMS Variants

| Test Case                    | LMS   | SD-LMS   | SE-LMS  | SS-LMS  |
|------------------------------|---|--|---|---|
| input power                  | $\sigma_e^2 \propto 1/\sigma_x^2$                     | no effect  | $\sigma_e^2 \propto 1/\ln[\sigma_x^2]$                          | no effect   |
| no offsets                   | $\sigma_e^2 \rightarrow 0$<br>for $\mu \rightarrow 0$ | $\sigma_e^2 \rightarrow 0$<br>for $\mu \rightarrow 0$        | $\sigma_e^2 \propto \mu^2 \sigma_x^4$                           | $\sigma_e^2 \propto \mu^2 \sigma_x^2$   |
|                              | $\sigma_e^2$ weakly depends on $\mu$                  |  | $\sigma_e^2$ strongly depends on $\mu$                          |   |
| algorithm circuit complexity | 1 multiplier/tap<br>1 integrator/tap                  | 1 slicer/tap<br>1 trivial multiplier/tap<br>1 integrator/tap | 1 trivial multiplier/tap<br>1 integrator/tap<br>1 slicer/filter | 1 slicer/tap<br>1 XOR gate/tap<br>1 counter/tap<br>1 DAC/tap<br>1 slicer/filter |
| convergence                  | no gradient misalignment                              | gradients misaligned   | no gradient misalignment  | gradients misaligned  |



## Coax Cable Equalizer

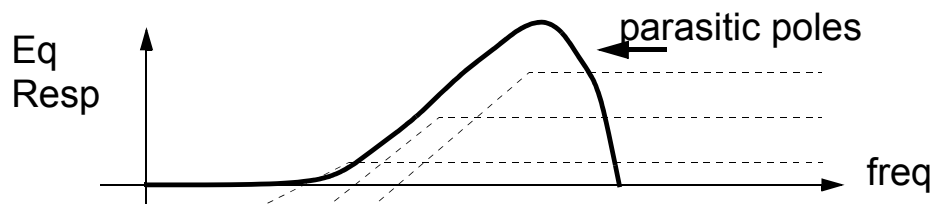
- Analog adaptive filter used to equalize up to 300m
- Cascade of two 3<sup>rd</sup> order filters with a single tuning control



- Variable  $\alpha$  is tuned to account for cable length



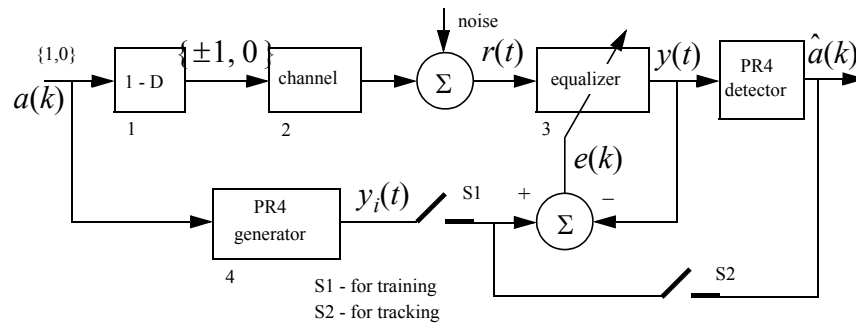
## Coax Cable Equalizer



- Equalizer optimized for 300m
- Works well with shorter lengths by tuning  $\alpha$
- Tuning control found by looking at slope of equalized waveform
- Max boost was 40 dB
- System included dc recovery circuitry
- Bipolar circuit used — operated up to 300Mb/s



## Analog Adaptive Equalization Simulation

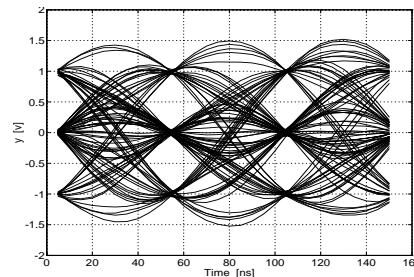
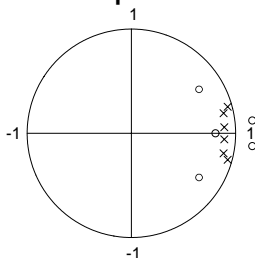


- Channel modelled by a 6<sup>th</sup>-order Bessel filter with 3 different responses — 3MHz, 3.5MHz and 7MHz
- 20Mb/s data
- PR4 generator — 200 tap FIR filter used to find set of fixed poles of equalizer
- Equalizer — 6<sup>th</sup>-order filter with fixed poles and 5 zeros adjusted (one left at infinity for high-freq roll-off)



## Analog Adaptive Equalization Simulation

- Analog blocks simulated with a 200MHz clock and bilinear transform.
- Switch S1 closed (S2 open) and all poles and 5 zeros adapted to find a good set of fixed poles.



- Poles and zeros depicted in digital domain for equalizer filter.
- Residual MSE was -31dB



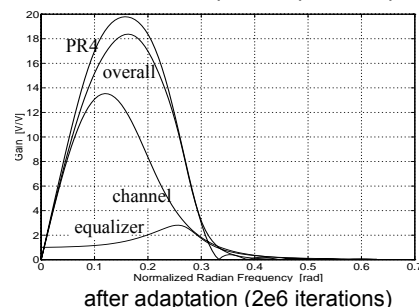
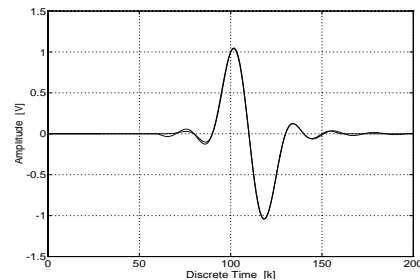
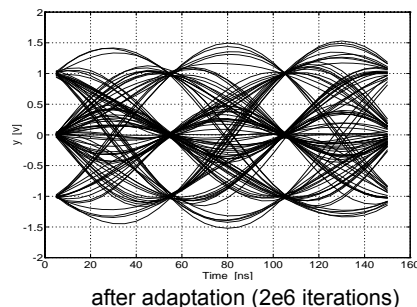
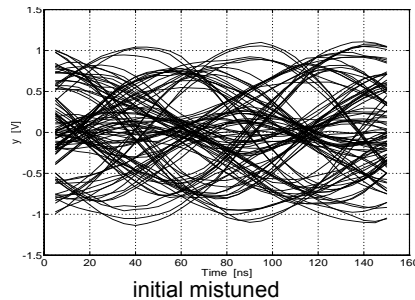
## Equalizer Simulation — Decision Directed

- Switch S2 closed (S1 open), all poles fixed and 5 zeros adapted using
  - $e(k) = 1 - y(t)$  if  $(y(t) > 0.5)$
  - $e(k) = 0 - y(t)$  if  $(-0.5 \leq y(t) \leq 0.5)$
  - $e(k) = -1 - y(t)$  if  $(y(t) < -0.5)$
- all sampled at the decision time — assumes clock recovery perfect
- Potential problem — AGC failure might cause  $y(t)$  to always remain below  $\pm 0.5$  and then adaptation will force all coefficients to zero (i.e.  $y(t) = 0$ ).
- Zeros initially mistuned to significant eye closure



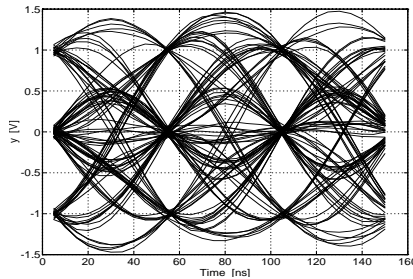
## Equalizer Simulation — Decision Directed

- 3.5MHz Bessel

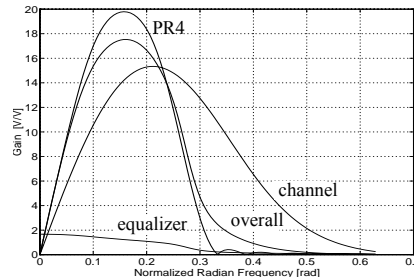


## Equalizer Simulation — Decision Directed

- Channel changed to 7MHz Bessel
- Keep same fixed poles (i.e. non-optimum pole placement) and adapt 5 zeros.



after adaptation (2e6 iterations)



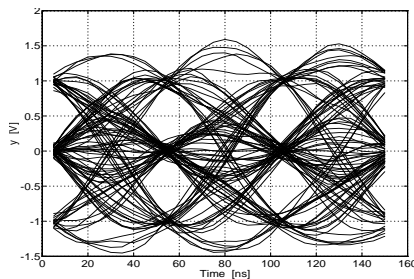
after adaptation (2e6 iterations)

- Residual MSE = -29dB
- Note that no equalizer boost needed at high-freq.

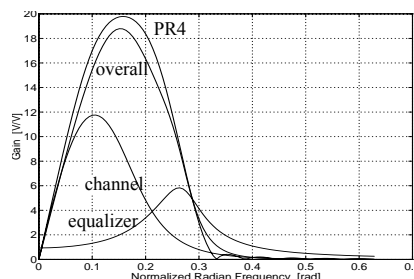


## Equalizer Simulation — Decision Directed

- Channel changed to 3MHz Bessel
- Keep same fixed poles and adapt 5 zeros.



after adaptation (3e6 iterations)



after adaptation (3e6 iterations)

- Residual MSE = -25dB
- Note that large equalizer boost needed at high-freq.
- Probably needs better equalization here (perhaps move all poles together and let zeros adapt)

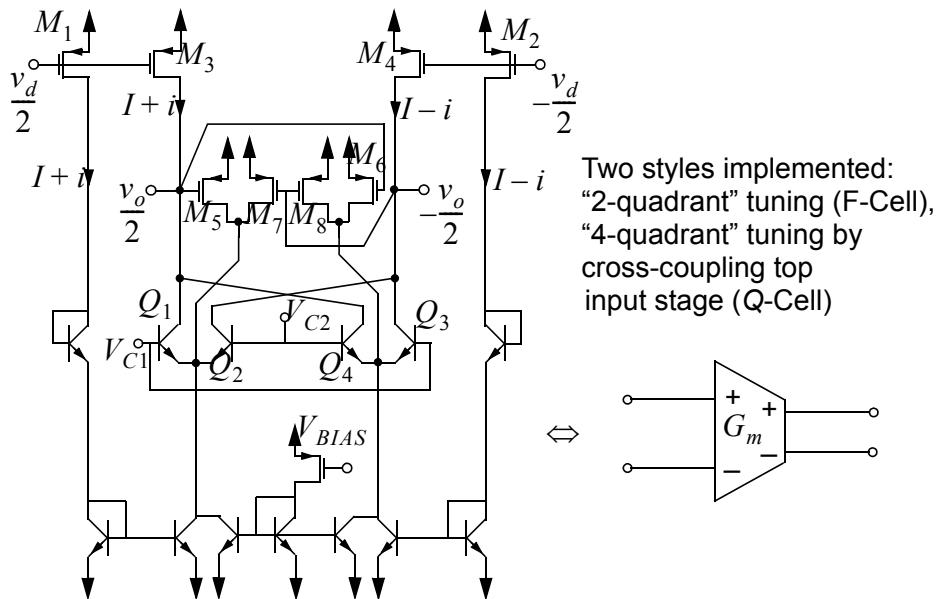


## BiCMOS Analog Adaptive Filter Example

- Demonstrates a method for tuning the pole-frequency and Q-factor of a 100MHz filter — adaptive analog
- Application is a pulse-shaping filter for data transmission.
- One of the fastest reported integrated adaptive filters — it is a Gm-C filter in 0.8um BiCMOS process
- Makes use of MOS input stage and translinear-multiplier for tuning
- Large tuning range (approx. 10:1)
- All analog components integrated (digital left off)

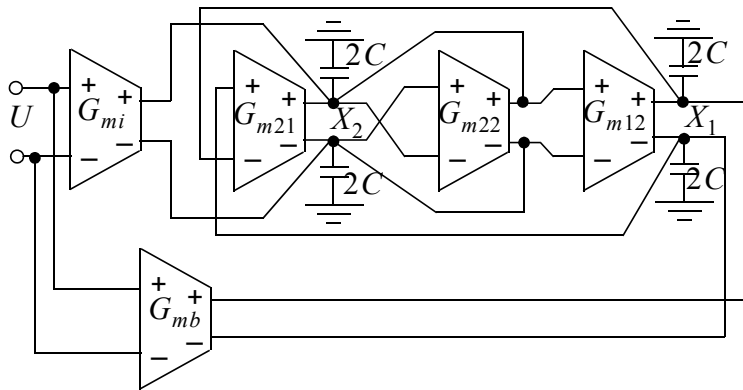


## BiCMOS Transconductor





## Biquad Filter



- $f_o$  and  $Q$  not independent due to finite output conductance
- Only use 4 quadrant transconductor where needed

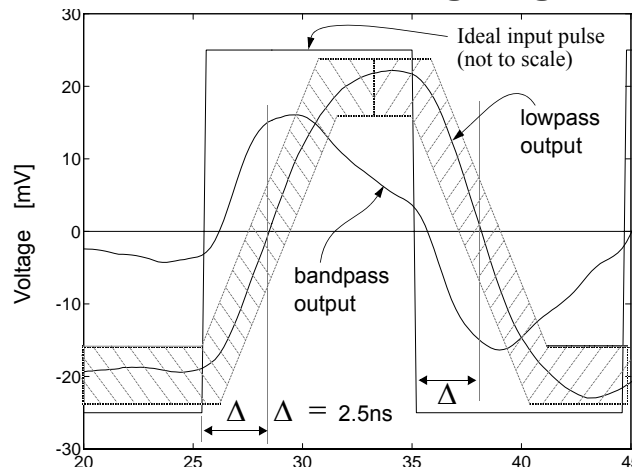


## Experimental Results Summary

|                                  |   |             |
|----------------------------------|---|-------------|
| Transconductor (T.) size         | 0.14mm x 0.05mm                         |             |
| T. power dissipation             | 10mW @ 5V                               |             |
| Biquad size                      | 0.36mm x 0.164mm                        |             |
| Biquad worst case CMRR           | 20dB                                    |             |
| Biquad $f_o$ tuning range        | 10MHz-230MHz @ 5V, 9MHz-135MHz @ 3V     |             |
| Biquad $Q$ tuning range          | 1-Infinity                              |             |
| Bq. inpt. ref. noise dens.       | $0.21 \mu V_{rms} / \sqrt{Hz}$          |             |
| Biquad PSRR+                     | 28dB                                    |             |
| Biquad PSRR-                     | 21dB                                    |             |
| <b>Filter Setting</b>            | <b>Output 3rd Order Intercept Point</b> | <b>SFDR</b> |
| 100MHz, $Q = 2$ , Gain = 10.6dB  | 23dBm                                   | 35dB        |
| 20MHz, $Q = 2$ , Gain = 30dB     | 20dBm                                   | 26dB        |
| 100MHz, $Q = 15$ , Gain = 29.3dB | 18dBm                                   | 26dB        |
| 227MHz, $Q = 35$ , Gain = 31.7dB | 10dBm                                   | 20dB        |



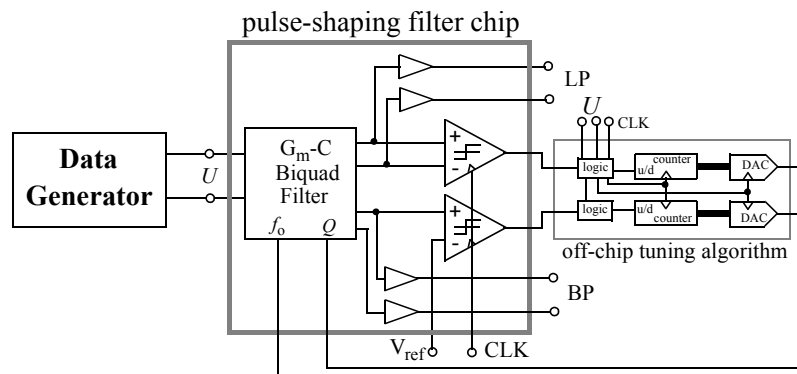
## Adaptive Pulse Shaping Algorithm



- Fo control: sample output pulse shape at nominal zero-crossing and decide if early or late (cutoff frequency too fast or too slow respectively)
- Q control: sample bandpass output at lowpass nominal zero-crossing and decide if peak is too high or too small (Q too large or too small)



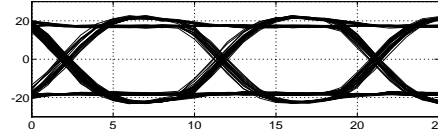
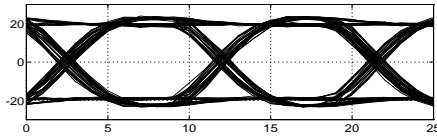
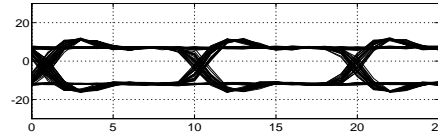
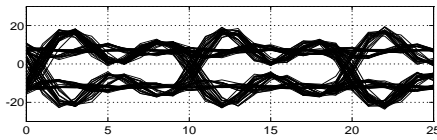
## Experimental Setup



- Off-chip used an external 12 bit DAC.
- Input was 100Mb/s NRZI data 2Vpp differential.
- Comparator clock was data clock (100MHz) time delayed by 2.5ns

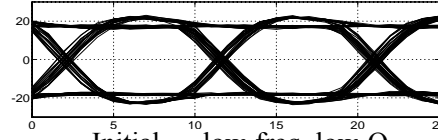
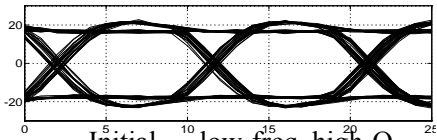
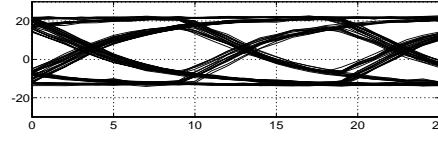
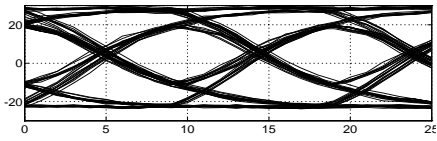


## Pulse Shaper Responses



Initial — high-freq. high-Q

Initial — high-freq. low-Q



Initial — low-freq. high-Q

Initial — low-freq. low-Q



## Summary

- Adaptive filters are relatively common
- LMS is the most widely used algorithm
- Adaptive linear combiners are almost always used.
- Use combiners that do not have poor performance surfaces.
- Most common digital combiner is tapped FIR

### **Digital Adaptive:**

- more robust and well suited for programmable filtering

### **Analog Adaptive:**

- best suited for high-speed, low dynamic range.
- less power
- very good at realizing arbitrary coeff with frequency only change.
- Be aware of DC offset effects

